

101003

16138 U.S. PTO

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MAIL STOP PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

22581 U.S. PTO
10/683568
101003

***UTILITY PATENT APPLICATION TRANSMITTAL
FILED UNDER 37 C.F.R. §1.53(b)***

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s):

Robert E. HORNUNG whose address is: **25 Westway Road, Southport, CT 06490**
and whose citizenship is: **United States**

John S. FRANCE whose address is: **633 Ridgeline Drive, Cuyahoga Falls, OH 44223**
and whose citizenship is: **United States**

Mark V. MURPHY whose address is: **1100 S. Elmwood, Oak Park, IL 60304**
and whose citizenship is: **United States**

Dean A. PETTIT whose address is: **10732 Millard Drive, St. John, IN 46373**
and whose citizenship is: **United States**

Rodney V. ERDMAN whose address is: **5591 40th Street, Princeton, MN 55371**
and whose citizenship is: **United States**

Title: **GRID MUNTIN RETAINING CLIPS FOR MUNTINS**

APPLICATION ELEMENTS:

- (1) ☒ Patent Application Specification, including Abstract and claims - 75 pages
- (2) ☒ Forty-Six (46) sheet(s) of formal drawings, together with transmittal letter
- (3) ☐ A check in the amount of \$ _____ to cover the ☐ filing fee(\$.00) and/or ☐ Assignment Recordal Fee (\$40) is enclosed.
- ☐ Before calculating the fee, cancel claim(s)
- ☐ Before calculating the fee, see copy of Preliminary Amendment filed in parent application _____ (attached hereto.)

Basic Fee								\$	770.00
Multiple Dependent Claims (\$260.)									
Foreign Language Surcharge (\$130.)									
	For	No. Filed	-	No. Extra		Rate			
EXTRA	Total Claims	92	20	72	x	\$18.	=	\$	1,296.00
CLAIMS	Independent Claims	33	3	30	x	\$86.	=	\$	2,580.00
TOTAL FILING FEE								=	\$ 4,646.00

- ☐ The Commissioner is hereby authorized to charge any deficiency in the payment of the required fee(s) or credit any overpayment to Deposit Account No. 50-0625.
- (4) ☐ Declaration and Power of Attorney form
- a. ☐ Newly executed
- b. ☐ Copy from a prior application
- c. ☐ Deletion of inventor(s) – signed statement attached deleting inventor(s) named in the prior application.
- (5) ☐ Small Entity Status

ACCOMPANYING APPLICATION PARTS:

- (6) ☐ Assignment document
- a. ☐ Newly executed (with \$40.00 recordal fee) and separate transmittal Form PTO-1595
- b. ☐ Copy from a prior application
- c. ☒ Please include assignee information when this application is published
- Assignee: Sashlite, LLC**
1175 Post Road East
Westport, CT 06880
- (7) ☐ Preliminary Amendment
- (8) ☐ Certified Copy of Priority Document, together with separate transmittal letter
- (9) ☐ Information Disclosure Statement, together with PTO Form 1449 and copies of cited references
- (10) ☒ Return receipt postage prepaid postcard
- (11) ☒ Express Mail Certificate (Mailing Label No. EV 129897882 US)

(12) [X] Application Data Sheet

(13) [] Other:

(14) [] If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
of prior application no.:

Prior application information:

Examiner: _____ Group/Art Unit: _____

Status: _____

FOR CONTINUATION or DIVISIONAL APPLICATIONS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under paragraph 4(b) above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

(15) [] Please amend the specification by inserting before the first line the sentence:

(16) [X] This application claims the benefit of U.S. **Provisional Patent Application Nos.** 60/420,147 filed on October 22, 2002; 60/457,149 filed on March 24, 2003; 60/459,917 filed on April 3, 2003 and 60/486,332 filed on July 11, 2003.

(17) [X] Correspondence address:
Barry R. Lipsitz
Law Offices of Barry R. Lipsitz
755 Main Street, Building 8
Monroe, CT 06468
Telephone: (203) 459-0200

(18) [X] Pursuant to the provisions of 37 C.F.R. Sections 1.10 and 1.53, Applicants' respectfully request that this application be assigned a serial number and a filing date of October 10, 2003, the date upon which the application was mailed to the Patent and Trademark Office by Express Mail (No. EV 129897882 US).

Respectfully submitted,



Barry R. Lipsitz
Attorney for Applicant(s)
Registration No. 28,637
755 Main Street, Bldg. 8
Monroe, CT 06468
(203) 459-0200

ATTORNEY DOCKET NO.: IND-126
Date: October 10, 2003

P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Hornung, et al.)
)
Filed: Herewith)
)
For: **GRID MUNTIN RETAINING CLIPS FOR MUNTINS**

MAIL STOP PATENT APPLICATION
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

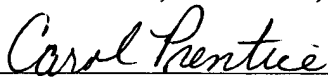
"Express Mail" mailing label number: **EV 129897882 US**
Date of Deposit: **October 10, 2003**

I hereby certify that the attached:

- ☒ Return receipt postage prepaid postcard;
- ☒ Transmittal letter for new patent application;
- ☒ Application Data Sheet;
- ☒ Patent Application Specification including Abstract and Claims (75 pages);
- ☒ Forty-Six (46) sheet(s) of formal drawings, together with transmittal letter.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 and 1.53 on the date indicated above and is addressed to: **MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Carol Prentice
(Typed or printed name of person mailing paper or fee)


(Signature of person mailing paper or fee)

Respectfully submitted,

Date: October 10, 2003
ATTORNEY DOCKET NO.: IND-126

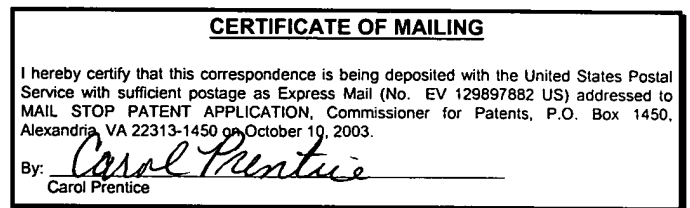

Barry R. Lipsitz
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P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Hornung, et al.)
Application No.:)
Filed: Herewith)
For: **GRID MUNTIN RETAINING CLIPS FOR MUNTINS**)

DRAWING REVIEW BRANCH
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450



TRANSMITTAL OF FORMAL DRAWINGS

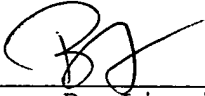
Dear Sir:

Enclosed are forty-six (46) sheets of formal drawings for filing in the above-referenced patent application.

Please advise the undersigned attorney if correction is necessary.

Respectfully submitted,

Date: October 10, 2003
ATTORNEY DOCKET NO.: IND-126



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